

**Interreg**  
CENTRAL EUROPE



**InterGreen**  
Nodes

**InterGreen-Nodes**

European Union  
European Regional  
Development Fund



# D.T1.2.1 TOOL FOR SELECTING INSTITUTIONAL STRATEGIES AND FUNDING OPPORTUNITIES

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Methodology for aligning the local needs

Version 1  
10 2021

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# 1. Introduction: aim of the tool

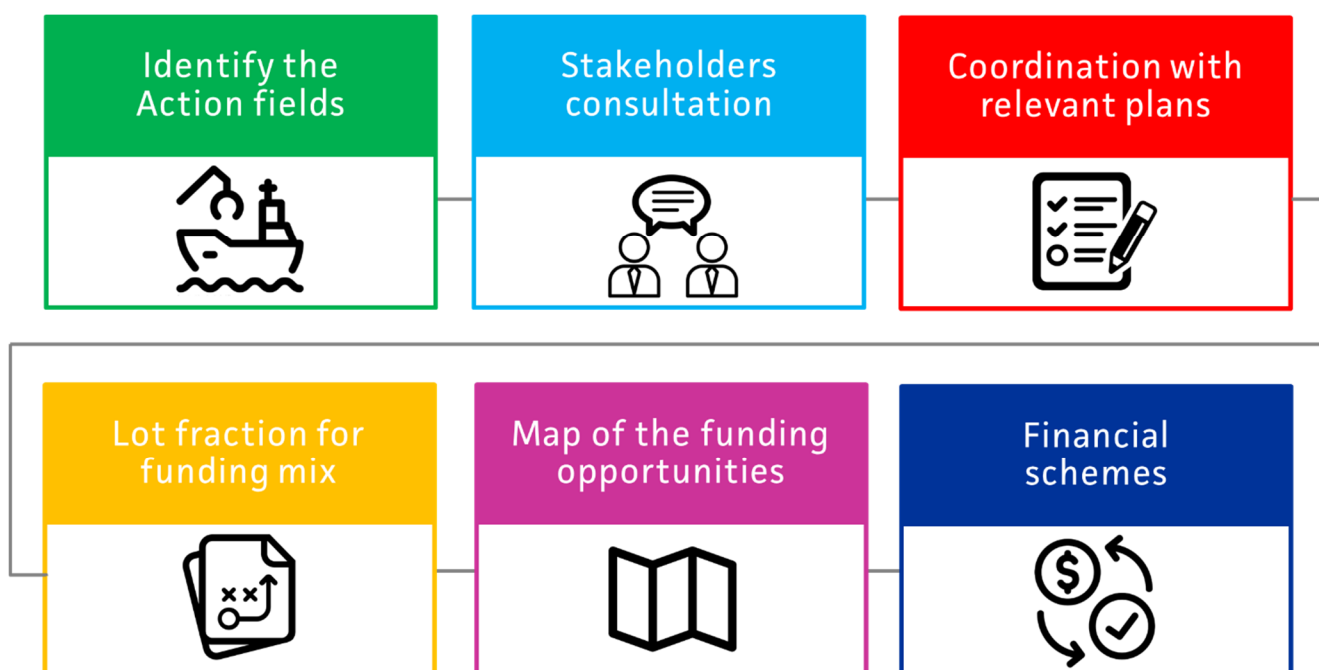
The tool presents a common transnational check-list / questionnaire to investigate the transferability and scalability of your pilot action (“demonstrator”) to other areas of your port, beyond the InterGreen’s closure. The following questions will define how this scalability could be included in an “Action Plan” with a focus on:

- (1) Stakeholders consultation
- (2) Coordination with relevant Plans
- (3) Funding mix
- (4) Financial scheme

It should be emphasized that the check-list / questionnaire would support port “Action Plan”, thus they refer in principle to the strategic level of planning by interested ports. For this reason, they consist of a straightforward methodological. Moreover, since the type of proposed actions may vary significantly and in many ways among different ports, the Guidelines put forward a rather flexible methodology to be applied in different local contexts while, at the same time, ensuring the adoption of a common approach.


The check-list / questionnaire is made up of six blocks that are strictly linked to the deliverables of action 1.1. They are the main references for filling-in the questions, in particular for the funds and financial schemes:

- D.T1.1.1 Survey of policy initiatives (EU, national, regional and local)
- D.T1.1.2 Assessment of funding opportunities for the deployment of InterGreen -Nodes
- D.T1.1.3 "International best practice review on greening last mile
- D.T1.1.4 "Guidelines for smooth green nodes development





## 1.1. IDENTIFY THE ACTION FIELDS

<div style="background-color: #00a651; color: white; padding: 20px; margin-bottom: 10px;"> <h3 style="margin: 0;">Identify the Action fields</h3> </div> 	<p><b>GOAL &amp; IMPLEMENTATION</b></p> <ul style="list-style-type: none"> <li>To define the actions' scope for the further investigation of funding opportunities and legal frameworks.</li> <li>The suggestion is to think “<i>out of the box</i>” to identify creatively all the possible large-scale impacts of the investment.</li> </ul> <p><b>OUTPUT</b></p> <ul style="list-style-type: none"> <li>To set the project's limits to identify the correct field of action and the preliminary project framework.</li> <li>Outline of the Action Plan's impacts on social, environment, employment aspects.</li> </ul>
<p><b>Question 1.A</b></p> <p><i>Which are the 3 main objectives that your Action Plan* will contribute to achieve?</i></p> <p><small>* Action Plan is the steps of the potential roadmap for expanding / scale your Intergreen's demonstrator beyond the project's closure to other areas/scopes of your Port</small></p>	<p><i>Objective1:</i> XXX</p> <p><i>Objective2:</i> XXX</p> <p><i>Objective3</i> XXX</p>
<p><b>Question 1.B</b></p> <p><i>Please describe the location/areas that could be the target for expanding your “demonstrator”</i></p>	<p><i>Location1:</i> XXX</p> <p><i>Location2:</i> XXX</p>
<p><b>Question 1.C</b></p> <p><i>Which are the main links with the existing Port's infrastructure?</i></p>	<p><i>Please insert here</i></p>
<p><b>Question 1.D</b></p>	<p><i>Environment</i></p> <p>1 - 2 - 3 - 4 - 5</p>

<p><i>Which are the impacts' scopes of your Action Plan in the three thematic pillars?</i></p> <p><i>Please assign a point from 1 (low) to 5 (high)</i></p>	<p>Employment 1 - 2 - 3 - 4 - 5</p> <p>Social 1 - 2 - 3 - 4 - 5</p>
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## 1.2. STAKEHOLDERS CONSULTATION

<div data-bbox="167 1055 778 1272" style="background-color: #0070C0; color: white; padding: 10px; text-align: center;"> <h3>Stakeholders consultation</h3> </div> <div data-bbox="167 1272 778 1507" style="text-align: center;"> </div>	<p><b>GOAL &amp; IMPLEMENTATION</b></p> <ul style="list-style-type: none"> <li>• Consultation could involve a wide spectrum of actors, all of them being concerned at several degrees and with different roles in the definition and subsequent implementation of strategies and activities.</li> <li>• Institutional actors representing the decision-making level will sit together with technical and trade stakeholders responsible for implementation processes.</li> </ul> <p><b>OUTPUT</b></p> <ul style="list-style-type: none"> <li>• Define a common baseline on the following aspects of the Action Plan: (1) Detailed challenges and opportunities of current scenario leading to the adoption of proposed measures and solutions; (2) technical features and impacts of the preliminary project framework; (3) Potential conflicts of interests while implementing actions (4) Coordination issues among plans at different levels (regional, metropolitan, local, etc.)</li> </ul>
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<p><b>Question 2.A</b></p> <p><i>Which are the Public / Institutional actors that must be further involved for the realization of your Action Plan*? Please indicate also the category (i.e. Ministry, Regional authority, Transport agency, etc.)</i></p> <p><small>* Action Plan is the steps of the potential roadmap for expanding / scale your Intergreen's demonstrator beyond the project's closure to other areas/scopes of your Port</small></p>	<p><i>Public Actor 1: XXX</i></p> <p><i>Public Actor 2: XXX</i></p> <p><i>Public Actor 3: XXX</i></p> <p><i>Public Actor 4: XXX</i></p> <p><i>Public Actor 5: XXX</i></p>
<p><b>Question 2.B</b></p> <p><i>Which are the private actors that must be involved for the realization of your Action Plan*?</i></p> <p><i>Please indicate also the category [i.e. Privates: Shipper; Logistics operator; Forwarders; Carrier (road/rail/shipping); Terminal operator]</i></p>	<p><i>Private Actor 1: XXX</i></p> <p><i>Private Actor 2: XXX</i></p> <p><i>Private Actor 3: XXX</i></p> <p><i>Private Actor 4: XXX</i></p> <p><i>Private Actor 5: XXX</i></p>

### 1.3. COORDINATION WITH RELEVANT PLANS

<div style="background-color: red; color: white; padding: 10px; text-align: center;"> <h2>Coordination with relevant plans</h2> </div> <div style="text-align: center; margin-top: 20px;">  </div>	<p><b>GOAL &amp; IMPLEMENTATION</b></p> <ul style="list-style-type: none"> <li>In this section, the coordination of proposed actions and solutions with respect to other relevant plans - at different levels - are properly addressed. The aim is to ensure proposed actions are as much as possible linked and coherent with overall strategies at various territorial levels (local - e.g. SUMPs, regional, national, EU) and interdependent sectors (e.g. SEAPs, SECAPs, etc).</li> </ul> <p><b>OUTPUT</b></p> <ul style="list-style-type: none"> <li>Checklist of coherence with overall strategies at various territorial levels (local - e.g. SUMPs, regional,</li> </ul>
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	<p>national, EU) and interdependent sectors (e.g. SEAPs, SECAPs, etc).</p>
<p><b>Question 3.A</b></p> <p><i>Which are the relevant plans - at different levels - that the Action Plan* will address?</i></p> <p><i>Please indicate the name of the Plan and the topics / objectives the Action will contribute to achieve</i></p> <p><i>* Action Plan is the steps of the potential roadmap for expanding / scale your Intergreen's demonstrator beyond the project's closure to other areas/scopes of your Port</i></p>	<p>Plan 1: XXX</p> <p>Plan 2: XXX</p> <p>Plan 3: XXX</p> <p>Plan 4: XXX</p>

**1.4. LOT FRACTION FOR FUNDING MIX**

<div data-bbox="172 1424 778 1877" style="border: 2px solid #FFD700; padding: 10px;"> <p style="text-align: center; font-size: 1.2em;">Lot fraction for funding mix</p> <div style="text-align: center;"> </div> </div>	<p><b>GOAL &amp; IMPLEMENTATION</b></p> <ul style="list-style-type: none"> <li>• A project can often been split in lots/parts according to a set of criteria-, such as geographical, physical, thematical features, as well as for administrative or regulatory aspects.</li> <li>• To split as much as possible the initiative can multiply the funding opportunities consistently with the goal and the territorial level considered.</li> <li>• Attention should be paid also on the operational activities after the project implementation, such as all the needs for the correct maintenance and management. This topic is particularly relevant for</li> </ul>
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	<p>specific finance schemes with private investors.</p> <p><b>OUTPUT</b></p> <ul style="list-style-type: none"> <li>• Scheme of lot/part fractions to be matched to funding opportunities. Studies such as demand analyses or environmental impact analysis can easily be funded by interregional or cooperation projects, while design or construction phases can be funded by European or local initiatives (see Deliverable 1.1.2)</li> </ul>
<p><b>Question 4.A</b></p> <p><i>Which are the Lot / Parts that form your Action Plan*?</i></p> <p><i>Please describe area and thematic topic of each lot / part</i></p> <p><i>* Action Plan is the steps of the potential roadmap for expanding / scale your InterGreen's demonstrator beyond the project's closure to other areas/scopes of your Port</i></p>	<p>Lot/part 1: XXX</p> <p>Lot/part 2: XXX</p> <p>Lot/part 3: XXX</p>

## 1.5. MAP OF THE FUNDING OPPORTUNITIES

<div data-bbox="162 1435 770 1653" style="background-color: #e91e63; color: white; padding: 10px; text-align: center;"> <h3>Map of the funding opportunities</h3> </div> <div data-bbox="162 1655 770 1883" style="border: 2px solid #e91e63; text-align: center; margin-top: 10px;"> </div>	<p><b>GOAL &amp; IMPLEMENTATION</b></p> <ul style="list-style-type: none"> <li>• Based on the features identified in the previous steps, the proper funding opportunity can be identified. The process can be iterative for each lot of the project (please refer to the Deliverable T.1.1.2, which can effectively help to select all the opportunities).</li> </ul> <p><b>OUTPUT</b></p> <ul style="list-style-type: none"> <li>• Map / Database of funding opportunities with main key features: type of funds, topics, eligibility, type of finance coverage, co-financing, period of application, rules for application</li> </ul>
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QUESTION 5.A <i>Match your Action Plan's Lot / thematic part with the most appropriate funding opportunities (please refer to the deliverable T.1.1.2)</i>  <i>Please, assign a "X" to the relevant funds</i>	LOT/PART	FUNDS				
		National / Regional Funds	CEF TEN	Horizon Europe	Interreg VI	Just Transition Fund
	Name of the Lot/part1					
	Name of the Lot/part2					
	Name of the Lot/part3					

## 1.6. FINANCIAL/ADMINISTRATIVE SCHEMES

<div style="background-color: #0056b3; color: white; padding: 10px; margin-bottom: 10px;"> <h3>Financial schemes</h3> </div>	<p><b>GOAL &amp; IMPLEMENTATION</b></p> <ul style="list-style-type: none"> <li>• Increase the interaction among private and public subjects. As reference the project deliverable T.1.1.3 can be an effective help to go through some European best practices development with different funding and administrative / financial schemes.</li> <li>• Public-private partnership could characterize the whole project since its beginning, while in other cases the public institution steers the following involvement of private partners in the management of the logistics infrastructure.</li> </ul> <p><b>OUTPUT</b></p> <ul style="list-style-type: none"> <li>• Financial schemes for the development, building and management phase.</li> </ul>
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<b>QUESTION 6.A</b>  <i>Match your Action Plan's Lot / thematic part with the most appropriate funding opportunities (please refer to the deliverable T.1.1.2 HERE)</i>  <i>Please, assign a "X" to the relevant funds</i>	LOT/PART	PUBLIC / PRIVATE PARTNERSHIP				
	Name of the Lot/part1	Yes / No	Name of partner n1.	Name of partner n2	Name of partner n3	Name of partner n4
	Name of the Lot/part2	Yes / No	Name of partner n1.	Name of partner n2	Name of partner n3	Name of partner n4
	Name of the Lot/part3	Yes / No	Name of partner n1.	Name of partner n2	Name of partner n3	Name of partner n4